Date of Deposit January 7, 2005.

# I DT03 Rec'd PCT/PTO 0 7 JAN 2005

		S. DEPARTMENT OF COMMERCE	CASE NO. 10808/144 (In1222WOUS)					
(REV. 8-93) PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES			U.S. APPLICATION NO. (If known, see 37 C.F.R.					
	the state of the s	D OFFICE (DO/EO/US)	1.5)					
	CONCERNING A FILIN	G UNDER 35 U.S.C. 371	10/501,430					
	NATIONAL APPLICATION	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
NO.	PCT/DE02/04521	December 10, 2002	January 15, 2002					
	OF INVENTION	S SEMICONDUCTOR MEMORY CELL	AND METHOD FOR PROPHICIALS THE CAME					
	CANT(S) FOR DO/EO/US	SEMICONDUCTOR MEMORY CELL	AND METHOD FOR PRODUCING THE SAME					
Franz	SCHULER (Dresden, German	y); Georg TEMPEL, (Dresden, German	(y)					
	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1.		of items concerning a filing under 35 U						
2.		EQUENT submission of items concern						
	. $\boxtimes$ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and (21) indicated below.							
4. 🗆	The US has been elected (A	Article 31).						
5. 🗆	A copy of the International A	Application as filed (35 U.S.C. 371(c)(2)	)).					
	a. 🔲 is transmi	tted herewith (required only if not trans	mitted by the International Bureau).					
	b. 🗌 has been	transmitted by the International Bureau	1.					
7	c.  is not requ	uired, as the application was filed in the	United States Receiving Office (RO/US).					
6. 🗆	An English translation of the International Application into English (35 U.S.C. 371(c)(2)).							
	a. is attached hereto.							
	b. $\square$ has been	previously submitted under 35 U.S.C.	154(d)(4).					
7. 🗆	Amendments to the claims	of the International Application under Po	CT Article 19 (35 U.S.C. 371(c)(3)).					
	a.   are transr	nitted herewith (required only if not tran	nsmitted by the International Bureau).					
	b. have been transmitted by the International Bureau.							
	c.  have not been made; however, the time limit for making such amendments has NOT expired.							
	d. 🔲 have not l	peen made and will not be made.						
8. 🗆	An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. A Declaration for Patent Application, Power of Attorney & Designation of Correspondence Address (35 U.S.C. 371(c)(4)).								
10. 🛚								
Items '	11 to 20 Below concern othe	r document(s) or information include	ed:					
11. 🔲	An Information Disclosure S	tatement under 37 CFR 1.97 and 1.98.						
12. 🛛	An assignment document fo	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. 🔲	A preliminary amendment.							
14. 🗀	An Application Data Sheet under 37 CFR 1.76.							
15. 🔲								
16. 🗌	A power of attorney and/or of	A power of attorney and/or change of address letter.						
17. 🔲	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.							
18. 🔲	A second copy of the published international application under 35 U.S.C. 154(d)(4).							
19. 🖂	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20. 🖂	Other items or information: Certification that English Translation of Specification is True and Accurate;							
Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US); copy of Notice; return post card								

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50)		INTERNATIONAL APPLICATION NO.		CASE NO. 10808/14	4 (In1222WOUS)			
		10/50	1,430	PCT/D	E02/04521			
21. The following fees are submitted:					CALCULATIONS	PTO USE ONLY		
C) Sea	arch Fee			•••••	\$500.00			
			ABOVE CALCULATIONS		\$			
Drogram lie	onal fee for sp sting filed in s	pecification a	and drawings filed in paper over 100	sheets (excluding seque	nce listing or computer			
program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Surcharge of \$130.00 for furnishing the oath or declaration later than \( \times \) 30 months from the earliest claimed priority date						·		
(37 CFR 1.					amout damed phonty date	130		
	Claims		Number Filed	Number Extra	Rate			
Total Clair			- 20 =		x \$ 50.00	`		
Independe	ent Claims		- 3 =		x \$ 200.00			
Multiple d	lependent c	laim(s) if A	pplicable)	0	+\$ 360.00			
				TOTAL OF A	BOVE CALCUATIONS =	\$		
Appli Appli	licant claims	s small enti	ty status. See 37 CFR 1.27. Th	e fees indicated above	are reduced by 1/2.			
					SUBTOTAL =	\$		
Processin	ng fee of \$1	30.00 for f	urnishing the English translation	later than the 20	30 months from the			
			7 CFR 1.492(f)).			\$		
	•		•	1	OTAL NATIONAL FEE=	\$		
Fee for	r recording t	the enclose	ed assignment (37 CFR 1.21(h)).	The assignment mus	t be accompanied by an			
	•				), \$40.00 per property +	40.00		
					AL FEES ENCLOSED=	\$170	\$	
						Amount to be	\$	
					refunded			
						charged	\$	
a.	$\boxtimes$	Checks in	the amounts of \$130.00 and \$4	0.00 to cover the above	e fees are enclosed.			
b.		Please charge my Deposit Account No. 23-1925 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
C.	$\boxtimes$	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.						
d.	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
Send All Correspondence to: Customer Number 48581								
Signature Signature								
John G. Rauch								
Name						-		
	37,218							
Registration Number								

01/14/2005 GFREY1 00000099 10501430 01 FC:1617

130.00 OP



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Doz 1450 Alexandria, Vingina 22313-1450 www.usplu.gov

FIRST NAMED APPLICANT ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO. 10/501,430 Franz Schuler 10808/144 INTERNATIONAL APPLICATION NO. PCT/DE02/04521 48581 I.A. FILING DATE PRIORITY DATE BRINKS HOFER GILSON & LIONE, DIRECT 12/10/2002 01/15/2002 INFINEON PO BOX 10395 CHICAGO, IL 60610 **CONFIRMATION NO. 9885** 371 FORMALITIES LETTER \*OC000000014672641\*

Date Mailed: 12/06/2004

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/13/2004
- Copy of the International Search Report filed on 07/13/2004
- Preliminary Amendments filed on 07/13/2004
- Information Disclosure Statements filed on 07/13/2004
- Request for Immediate Examination filed on 07/13/2004
- U.S. Basic National Fees filed on 07/13/2004
- Priority Documents filed on 07/13/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

LAMONT M HUNTER

Telephone: (703) 305-3686

## PART 1 - ATTORNEY/APPLICANT COPY

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	U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
	10/501,430	PCT/DE02/04521	10808/144

FORM PCT/DO/EO/905 (371 Formalities Notice)